# Scheduling with Freshness and Performance Guarantees for Web Applications in the Cloud

Yingying Zhu<sup>1</sup>

Mohamed A. Sharaf<sup>2</sup>

Xiaofang Zhou<sup>1</sup>

<sup>1</sup>School of Information Technology and Electrical Engineering The University of Queensland Email: (y.zhu3, zxf)@uq.edu.au

> <sup>2</sup>Department of Computer Science University of Pittsburgh Email: msharaf@cs.pitt.edu

## Abstract

Highly distributed data management platforms (e.g., PNUTS, Dynamo, Cassandra, and BigTable) are rapidly becoming the favorite choice for hosting modern web applications in the cloud. Among other features, these platforms rely on data replication and relaxed consistency to achieve high levels of performance and scalability. However, these design choices often exhibit a trade-off between performance SLA and *data currency*. In this paper, in addition to performance SLAs, we also perceive an application tolerance to data staleness as another requirement determining the end-user satisfaction and our goal is to strike a fine balance between both the quality of service and quality of data perceived by end-user. Towards that, we propose scheduling policies and mechanisms for efficiently allocating the recourses at each replica node so that to meet the conflicting requirements of user queries and replica updates. Our experimental results show that employing our scheduling schemes for resource allocation can provide significant improvements in the overall system utility when compared to existing policies.

*Keywords:* Web Database, Distributed Database, Scheduling, SLA, Quality of Data, Quality of Service.

# 1 Introduction

In modern web applications, user satisfaction or positive experience determines the applications' success (and keeps the competitors "more than a click away" [16]). A fundamental requirement in such web applications is to consistently meet the user's expectations for page load time as expressed by a Service Level Agreement (SLA). An example of a simple SLA is a web application guaranteeing that it will provide a response within 300ms for 99.9% of its requests for a peak client load of 500 requests per second [7].

Clearly, application SLAs place stringent response time requirements on the data management platform demanding a near realtime performance. Towards this, several data management techniques have been continuously improved in order to maximize the SLA satisfaction of web database transactions. Examples of such techniques include data caching[18], data prefetching [5], adaptive transaction schedul-ing [8, 16], etc. However, the continuous growth in database-driven web applications as well as the complexity of user requirements required re-thinking the traditional database solutions and resulted in a new generation of highly distributed database platforms especially designed to meet the ever stringent performance requirements expected by today's end user. Examples of such platforms include PNUTS [6], Dy-namo [7], Cassandra [14], and BigTable [4] which act as the underlying data management platform for the internal applications of an enterprise (e.g., Yahoo/PNUTS, Amazon/Dynamo) or provide the service of hosting external web application in the cloud.

These platforms are expected to meet strict operational requirements in terms of performance, reliability and efficiency, and to support continuous growth the platform needs to be highly scalable [7]. However, the design choices for these platforms often exhibit a trade-off between query performance and *data currency*, which is the focus of this paper. In particular, most of such modern platforms share the following key design choices:

- Data Replication: In a large-scale web application, users are scattered across the globe which makes it is critical to have data replicas on multiple continents for low-latency access [6]. For instance, Dynamo uses a synthesis of well known techniques for data partitioning using consistent hashing as well as data replication between a coordinator node and a set of preference nodes.
- Relaxed Consistency: Achieving serializability for web transactions over a globally-replicated and distributed system is very expensive and often unnecessary [6]. In particular, web applications expect and tolerate weaker levels of consistency. For instance, Dynamo is designed to be an eventually consistent data store; that is all updates reach all replicas eventually. Similarly, PNUTS provides a consistency model that is between general serializability and eventual consistency.
- *Key-Value Data Model:* Presents a simplified data model to the user based on a key-value data store motivated by the observation that the big

You can use the \toappear to add a footnote for grant and other information. We prefer the copyright notice to be the last on the page. \toappear looks better we think than the \thanks title option.

Copyright ©2011, Australian Computer Society, Inc. This paper appeared at the The twenty-second Australasian Database Conference (ADC2011), Perth, Australia, January 2011. Conferences in Research and Practice in Information Technology (CRPIT), Vol. 103, Heng Tao Shen and Yanchun Zhang, Ed. Reproduction for academic, not-for-profit purposes permitted provided this text is included.

majority of web transaction only need primarykey data access manipulating one record at a time. For example, Dynamo provides simple get() and put() operations for the read and write to a data item that is uniquely identified by a key, while no operation can span multiple data items. Similarly, PNUTS provides a get() and set() operations in a addition to multiget() operation which supports retrieving multiple records by specifying a set of primary keys.

Clearly, the above features directly contribute to improving the performance of web applications and meeting the pre-specified SLA requirements. However, while weaker levels of consistency allow for high scalability, this often comes at the expense of data currency where user queries might access stale data. This is typically accepted by most web applications only if the perceived staleness is bounded within some pre-specified staleness tolerance [9]. Meanwhile, current platform cannot guarantee such bounds on data staleness in the presence of large number of data replicas that are updates asynchronously and stringent performance SLAs. For instance, in the Dynamo platform, replica synchronization tasks are executed in the background at low priority so that to allow enough resources for running the foreground queries and meeting their SLAs.

In this paper, in addition to a query SLA, we also perceive an application tolerance to data staleness as another requirement determining the satisfaction of the end user, and in turn the success of a web application. Towards this, our goal is to balance the tradeoff between the perceived Quality of Service (QoS) as expressed by performance SLA and the Quality of Data (QoD) as expressed by staleness tolerance. Our approach towards achieving that goal relies on efficiently allocating the recourses at each node so that to meet the conflicting requirements of user queries and replica updates. In particular, in this paper we propose new schemes for the scheduling of user queries and replica updates in database-driven web applications.

To this end, several research efforts have addressed the problem of scheduling queries and updates to balance the trade-off between QoS and QoD in contexts such as web databases [15], realtime databases [1, 12], and realtime data warehouses [2, 19, 11]. They all share the same underlying mechanism of taking a *lazy* asynchronous approach for applying updates. However, they are fundamentally different in the scheduling policy adopted for the execution of those asynchronous updates.

For instance, the On-Demand (OD) [1] mechanism *couples* the execution of updates with query access, where all the data items accessed by a certain query are refreshed on demand before the execution of that query. This strategy has been shown to be beneficial in saving system resources since as it minimizes the number of installed updates [10]. However, the OD mechanism employs simple policies for scheduling queries (and in turn updates) which fall short in meeting the SLA requirements of modern web applications. On the other hand, the QUTS [15] policy takes a different approach where it considers both QoS and QoD requirements under a unified Quality Contracts [13] model for web databases. However, QUTS decouples the execution of queries from that of updates where it allocates to each a separate time quota of the system resources. This allows QUTS to target traditional general database transactions where it is not possible to determine the data objects accessed

by a query beforehand. But at the same time, this decoupling might waste significant system resources by installing updates that are not necessary.

To the contrary, our approach in this work exploits the characteristics of the key-value data access model while at the same time addressing the challenges imposed by satisfying the QoS and QoD specifications of web applications. In particular, we propose mechanisms and policies that consider the "cost" and "benefit" of replica updates in association with the query workload so that to improve both query performance and data freshness. Moreover, between the two extremes of coupling and decoupling the scheduling of queries and updates, we propose a new hybrid mechanism that integrates the advantages of each and dynamically decides the association between queries and updates to maximize the system gains. Our experimental results show that employing our scheduling schemes for resource allocation can provide significant improvements in the overall system utility when compared to existing policies.

The rest part of this paper is organized as follows. Section 2 describes the system model. Our proposed scheduling policies are presented in section 3. Section 4 describes the evaluation environment. Section 5 presents our experimental results. Section 6 finalizes this paper with conclusions and future work.

# 2 System Model

We assume a platform such as PNUTS or Dynamo where data is partitioned and replicated across multiple nodes. In such architecture, a load balancer distributes user queries across the different nodes. Additionally, each node also receives updates to its stored replica from the replica owner or coordinator. Our proposed policies operate at a per-node level where they are responsible for scheduling the execution of the arriving queries and updates against the local replica to maximize both QoS and QoD. Next, we describe our model for data replica, queries and updates together with our metrics for QoS and QoD.

#### 2.1 Database Replica

Our database replica B consists of M data objects  $\{O_1, O_2, ..., O_M\}$  which are accessed simultaneously by both queries and updates. As in [9], we use the term replica broadly to include saved data derived from some underlying source tables. As such, it could be a replica in the ordinary sense as in the distributed data management platforms described above. But also a replica could be a web database which represents a portal updated aperiodically by external sources. For example, in a stock information application, external databases such as the New York Stock Exchange store the history of updates, whereas web database corresponds to a snapshot view reflecting the most recent stock information as propagated by that external database. Finally, a replica could be a materialized view internal to the web application to support efficient query processing. For instance, to support social networking applications, PNUTS stores materialized view as regular tables that are asynchronously maintained by the system [17].

#### 2.2 Updates

In general, data updates could be either *periodic* or *aperiodic* [1]. For the periodic case, a new update arrives once every pre-defined interval of time whether the value of corresponding data object has changed

or not. For example, a sensor network for monitoring temperature will continuously generate a new reading update at intervals called epochs. On the other hand, aperiodic updates occur only when the value of a certain data object changes, which is clearly the case for the kind of updates expected under our system model. For example, a replica update will be generated in response to a user posting a new status or publishing a new picture or video.

Under the key-value data store model, each update  $U_i$  is a write-only transaction that changes one data object performed by an operation such as **set(**) or **put(**). Updates to a data replica are queued internally in the node's *update queue* until they are scheduled for execution. Each update has a timestamp representing the time when the updates has been generated. Finally, in a key-value data store, updates are *blind* as they do not require reading the current value of a data object before updating it. Hence, the arrival of a new update to a certain data object, will make any pending update to that object worthless as in the "last write wins" conflict resolution policy [20].

Finally, each update  $U_i$  is characterized by a cost  $C_{iu}$ , which reflects the time required for processing the update and installing it onto the replica. This processing time incorporates both CPU and I/O costs and is typically determined by monitoring the processing of previous updates over a reasonable time window.

#### 2.3 Queries

In our model, each query  $Q_i$  represents a get() operation to the key-value data store. Rendering a web page in modern web applications typically fires a large number of queries, where such a dynamic web page is composed by a number of content fragments, each of which is materialized at every request by running several queries and executing lengthy code to produce HTML.

For example, a page request to one of the ecommerce sites typically requires the rendering engine to construct its response by sending over 150 get() operations [7].

Similar to updates, in a key-value data store, each query  $Q_i$  will have a timestamp representing its arrival time  $A_i$  and will access a single record or data object  $O_i$ . Meanwhile, operations that touch multiple records require a component that generates multiple individual requests for single records. Finally,  $C_{iq}$  denotes the cost for retrieving and processing that data object. Like update processing, query processing time incorporates both CPU and I/O costs and is statically estimated over time.

#### 2.4 Qos and QoD Metrics

There are several metrics for capturing the user perceived QoS as well as QoD. In this paper, we focus on QoS in terms of *tardiness* and QoD in terms of *staleness* and our goal is to minimize both metrics across all the user queries submitted to the system.

Ideally, if a query  $Q_i$  finishes execution at time  $F_i$ , then  $F_i$  should be within the QoS tolerance of  $Q_i$  and the staleness of the data item  $O_i$  accessed by the query should be within its QoD tolerance. However, in the presence of multiple queries and updates competing for the system resources,  $Q_i$  might experience queuing delays or access stale data that fall beyond its tolerance. The natural way to capture these deviations is to define for each query  $Q_i$  two deadlines: 1) Tardiness Deadline  $(D_i)$ , and 2) Staleness Deadline

 $(S_i)$ . In our model, those two deadlines represent the Service Level Agreement (SLA) and they determine the penalty paid by the system when violating either the QoS or QoD requirements as explained next.

To specify the QoS and QoD requirements (i.e., SLA), each query is associated with the following parameters:

- Weight  $(W_i)$ : the weight assigned to query  $Q_i$ , which represents its importance to the system.
- QoS Factor  $(\alpha_{is})$ : the fraction of the weight assigned to QoS, which represents the QoS importance to the application.
- QoD Factor  $(\alpha_{id})$ : the fraction of the weight assigned to QoD (=  $1.0 \alpha_{is}$ ), which represents the QoD importance to the application.
- QoS Tolerance  $(\gamma_{is})$ : the tolerance of query  $Q_i$  to tardiness.
- QoD Tolerance  $(\gamma_{id})$ : the tolerance of query  $Q_i$  to staleness.

#### 2.4.1 Query Perceived Tardiness

The tardiness Deadline  $(D_i)$  is defined as:

$$D_i = A_i + \gamma_{is} \tag{1}$$

This is the traditional definition of query deadline, where  $A_i$  is the arrival time of query  $Q_i$  and  $\gamma_{is}$  is its tolerance as described above.

if  $Q_i$  cannot meet its deadline, the system will still execute it but it will be "penalized" for the delay beyond the deadline  $D_i$ . This penalty per query is known as *tardiness* which is formally defined as:

**Definition 1** Tardiness,  $T_i$ , for query  $Q_i$  is the total amount of time spent by  $Q_i$  in the system beyond its deadline  $D_i$ . That is,  $T_i = 0$  if  $F_i \leq D_i$ , and  $T_i = F_i - D_i$  otherwise.

#### 2.4.2 Query Perceived Staleness

The staleness deadline  $(S_i)$  is defined as:

$$S_i = R_i + \gamma_{id} \tag{2}$$

This is the definition of data deadline as in [21], where  $R_i$  is the timestamp of the first unapplied update to data object  $O_i$  and  $\gamma_{id}$  is the tolerance of  $Q_i$ to staleness as described above.

In particular, at time  $R_i$ , data object  $O_i$  is rendered stale because of the arrival of a new update that has not been installed yet. If that update is still unapplied until the time  $Q_i$  is scheduled for execution, then the system will still execute the query but it will be penalized for the staleness beyond the deadline  $S_i$ . This penalty per query is known as *staleness* (or *currency*, *age* [3]) which is formally defined as:

**Definition 2** Staleness,  $L_i$ , for query  $Q_i$  is the total amount of staleness accumulated by  $O_i$  beyond  $Q_i$ 's staleness deadline  $S_i$ . That is,  $L_i = 0$  if  $F_i \leq S_i$ , and  $L_i = F_i - S_i$  otherwise.



Figure 1: Weighted Tardiness

Figure 2: Weighted Staleness

Figure 3: Total Penalty

Table 1: Model Parameters

Parameter	Symbol
Database	В
Objects in Database	$\{O_1,, O_M\}$
Write-only Transaction: Update	$U_i$
Timestamp of First Unapplied Updates	$R_i$
Read-only Transaction: Query	$Q_i$
Arrive Time of Query	$A_i$
Finish Time of Query	$F_i$
Weight of each query	$w_i$
QoS Factor	$\alpha_{is}$
QoD Factor	$\alpha_{id}$
QoS Tolerance	$\gamma_{is}$
QoD Tolerance	$\gamma_{id}$
Tardiness Deadline	$D_i = A_i + \gamma_{is}$
Staleness deadline	$S_i = R_i + \gamma_{id}$

#### 2.4.3 Problem Definition

Given the above definitions of tardiness and staleness, our goal is to minimize the total combined penalty incurred by the system. This combined penalty per query  $Q_i$  is simply the sum of weighted staleness and weighted tardiness (as shown in Figures 1, 2, and 3) and is computed as follows:

$$P_i = W_i[(\alpha_{is} \times T_i) + (\alpha_{id} \times L_i)]$$

Or equivalently,

$$P_i = W_i[(\alpha_i \times T_i) + ((1 - \alpha_i) \times L_i)]$$
(3)

where  $\alpha_i = \alpha_{is}$  and  $W_i$  is the weight of query  $Q_i$  as defined above.

Hence, the system objective is to minimize the *av*erage penalty which is defined as:

**Definition 3** The average penalty for N queries is:  $\frac{1}{N} \sum_{i=1}^{N} P_i$ .

In the next section, we will discuss several query and update scheduling policies for achieving the objective defined above.

#### 3 Scheduling Policies

In this section, we propose three alternatives for the scheduling of queries and updates in a replica. In particular, we first propose scheduling policies that extend the On-Demand scheduling mechanism [1] by consider query characteristics (Sections 3.1) as well as update characteristics (Section 3.2). Finally, we

propose a new mechanism for scheduling queries and updates that further enables balancing the trade-off between QoS and QoD (Section 3.3).

#### 3.1 Query-aware On-Demand Scheduling

In this section, we simply extend the scheduling policies employed under the On-Demand (OD) mechanism [1] to achieve better performance as measured in term of QoS and QoD. Recall that under the On-Demand policy, queries are always given precedence over updates. However, when a query  $Q_i$  encounters a stale data object  $O_i$ , the update queue will first be checked if there is a pending update to  $O_i$  (i.e.,  $U_i$ ). If an update is found, it is applied before executing the query.

The On-Demand mechanism provides an attractive property which is maximizing the freshness of data by applying any pending relevant updates first. This results in almost no penalty for data staleness in our system. However, in terms of QoS, the On-Demand mechanism suffers from a major drawback as it employs a basic *First-Come-First-Served (FCFS)* policy where the arrival time of query  $Q_i$  determines its priority.

FCFS has been shown to perform very poorly under deadline-based metrics such as tardiness [], which leads to high QoS penalties for our system that are expected to overweight the gains from improving QoD. Hence, in this section we propose exploiting deadlineaware query scheduling policies to work in conjunction with the general On-Demand mechanism.

For all of the following policies, for each pending query  $Q_i$  we compute a priority  $V_i$  based on some of the properties of  $Q_i$ . For the query with the highest priority, we first apply the pending update (if any) to the data item  $O_i$ , then execute the query as in the On-Demand mechanism. We first start with the FCFS-Q policy.

**FCFS-Q:** First-Come-First-Served (FCFS) has been proposed as the scheduling policy under the OD mechanism [1]. Under FCFS, each query  $Q_i$  is assigned a priority  $V_i = \frac{1}{A_i}$ , where  $A_i$  is the arrival time of query  $Q_i$  as described in Section 2. FCFS is a fair scheduling policy since it bounds the waiting time of a query in the system queue. However, this is often at odds with minimizing system performance metrics such as response time or tardiness.

**EDF-Q:** Earliest Deadline First (EDF) is one clear alternative for replacing FCFS under the OD mechanism. Under EDF, each query  $Q_i$  is assigned a pri-

ority  $V_i = \frac{1}{D_i}$ , where  $D_i$  is the tardiness deadline of query  $Q_i$  as described in Section 2. It has been shown that EDF provides a close to zero tardiness under low to medium system utilization which makes it attractive for web database during periods of light workload.

**WSJF-Q:** Weighted Shortest Job First (WSJF) is another alternative under the OD mechanism as it considers both the query processing time and its weight. Here, we only need to consider the fraction of weight pertaining to QoS (i.e.,  $\alpha_i W_i$ ) since the QoD component of weight is already maximized under the OD mechanism. Hence, under WSJF-Q, each query  $Q_i$  is assigned a priority  $V_i = \frac{\alpha_i W_i}{C_{iq}}$ , where  $\alpha_i W_i$  is the QoS weight component and  $C_{iq}$  is processing of query  $Q_i$  as described in Section 2. It has been shown that WSJF minimizes the weighted tardiness under high system utilization as opposed to EDF which might exhibit a "domino effect" [8]. This makes WSJF especially attractive for web database during periods of high workload which is expected to be the norm for the applications we are considering in this work.

**Density-Q:** The density policy is very similar to the WSJF-Q except that it considers the query benefit (or penalty) at the current time rather than its weight [11]. As in WSJF-Q, we only need to consider the fraction of penalty to pertaining QoS since the QoD component of penalty is already minimized under the OD mechanism. Hence, under Density-Q, each query  $Q_i$  is assigned a priority  $\frac{-W_i\alpha_i \times (\tau + C_{iq} - D_i)^+}{C_{iq}}$  where  $\tau$  is the current time where a scheduling decision is to be made and  $\tau + C_{iq}$  is the time where the query finishes execution and  $(\tau + C_{iq} - D_i)^+$  is the positive value of the term, i.e.,  $max(0, \tau + C_{iq} - D_i)$ .

If  $\tau + C_{iq} \leq D_i$ , then  $Q_i$  will finish before its deadline and the system incurred penalty is 0. However, if  $\tau + C_{iq} > D_i$ , then  $Q_i$  will miss its deadline and the system incurred penalty is the weighted tardiness  $W_i \alpha_i \times (\tau + C_{iq} - D_i)$  or equivalently, the system benefit is the negative of that value as reflected in the priority function. Note that in comparison to WSJF-Q, as a result of considering current penalty rather than just weight, Density-Q recognizes the tardiness deadline as a *critical point* where a query starts accumulating tardiness leading to system penalty.

Finally, it is worth mentioning that under all the policies above, the execution order of updates is determined by the execution order of queries. Hence, there is no need for an update scheduling policy and the scheduling decision is solely based on the query characteristics. Hence, we will call such mechanism queryaware and we denote the policies using "-Q" such as FCFS-Q. However, in the times of light load where queries are more sporadic, updates could be scheduled independently. Specifically, if the query queue is empty, the system starts executing updates until a new query arrives. To schedule those updates we use the basic Shortest Job First (SJF) policy where each update is assigned a priority  $V_i = \frac{1}{C_{iu}}$ . In the next section, we propose policies that further integrates the characteristics of the pending updates under the OD mechanism.

#### 3.2 Update-aware On-Demand Scheduling

In the previous section, we have applied two features of the On-Demand approach, namely:

1. Applied any pending update to a data object before it is accessed by a query, and 2. Employed scheduling policies that only consider the properties of pending queries.

The first feature above enforces the On-Demand mechanism where updates are applied when an object is accessed leading to fresh data. Meanwhile, the second feature simplifies the scheduling decision by restricting the priority functions to only the query parameters. However, exploiting only the query parameters in scheduling might have a serious negative impact on the system performance.

In particular, all the policies presented above are oblivious to the properties of updates which might be in conflict with the properties of the corresponding query. For instance, under the WSJF-Q, if a query  $Q_i$  has the lowest processing cost then it might be selected for execution first regardless of the cost for refreshing data object  $O_i$  (i.e.,  $C_{iu}$ ). If that cost of installing the update happened to be very high, then all pending queries will be delayed and accumulating tardiness resulting in a poor overall system performance.

To avoid such conflict, we propose an Updateaware version of the On-Demand mechanism, which works like the original On-Demand but employs scheduling policies that consider the characteristics of updates in addition to those of queries. However, note that the negative impact of an update on the system is restricted to the QoS perceived by other queries but not on the perceived QoD. In particular, processing a certain update  $U_i$  with cost  $C_{iu}$  leads to delaying the processing of other queries and might lead to an increase in tardiness if those queries are close to their deadlines. However, it has no impact on the QoD under the On-Demand mechanism since data objects are always refreshed before accessed by a query leading to maximum freshness.

Hence, under the Update-aware version, we only need to modify those scheduling policies that consider processing cost, to include the cost of processing an update in addition to that of processing a query. Specifically, the EDF and FCFS policies will remain the same under update-aware, whereas we need new versions of WSJF and Density to materialize the new mechanism. For those two policies, for each pending query  $Q_i$  we compute a priority  $V_i$  based on some of the properties of  $Q_i$  and its corresponding  $U_i$  (if any). For the query+update combination with the highest priority, we first apply the pending update (if any) to the data item  $O_i$ , then execute the query as in the On-Demand mechanism.

**WSJF-QU:** Under the update-aware WSJF-QU, each query  $Q_i$  is assigned a priority

$$V_i = \frac{\alpha_i W_i}{C_{iq} + C_{iu}} \tag{4}$$

where  $\alpha_i W_i$  is the QoS weight component,  $C_{iq}$  is the cost of processing query  $Q_i$  and  $C_{iu}$  is the cost of refreshing data object  $O_i$  by applying the pending update  $U_i$ .

**Density-QU:** Under the update-aware Density-QU, each query  $Q_i$  is assigned a priority

$$\frac{-W_i\alpha_i \times (\tau + C_{iq} + C_{iu} - D_i)^+}{C_{iq} + C_{iu}} \tag{5}$$

where  $\tau$  is the current time where a scheduling decision is to be made.

Intuitively, the two policies above consider the negative impact of applying an update in terms of delaying other queries by an amount of time equal to the update cost  $C_{iu}$ . Further, the Density-QU policy also considers the negative impact of an update  $U_i$  on its own query  $Q_i$  since waiting until an update is installed might lead to  $Q_i$  missing it tardiness deadline resulting in QoS penalty to the system.

#### 3.3 Freshness/Tardiness Scheduling (FIT)

The On-Demand mechanism for scheduling asynchronous updates defers applying an update as much as possible (i.e., until a query request is about to access a stale data object). For "blind" updates, this allows for saving system resources that otherwise would have been unnecessarily wasted on installing obsolete updates. However, it is often the case that applying the most recent update is not that necessary such as when the staleness of a data object is within the query's tolerance or in the extreme case when a query actually does not assign any weight to the QoD. Even when the staleness violates the query requirement, applying an update might require high processing cost that will have a negative impact on the tardiness of that query and the other queries in the system leading to an overall lower QoS. Towards this, we propose a new mechanism called Freshness/Tardiness (FIT) for scheduling updates.

FIT, like OD, defers refreshing an object until it is requested by a query. However, under FIT, the scheduling policy reasons about the global impact of applying the update in terms of the utility of processing that update to the query under consideration as well as the other queries in the system. This reasoning depends on the scheduling policy employed under this mechanism as it has been the case of with the two previous mechanisms. Before describing the details of our scheduling policies under FIT, we first introduce the general mechanism shared by all those policies. Specifically, under FIT, for each pending query  $Q_i$ , we compute two priorities:

- 1.  $v_i^+$ : The priority of  $Q_i$  if it is executed together with the latest corresponding update  $U_i$  (if any), and
- 2.  $v_i^-$ : The priority of  $Q_i$  if it is executed while "skipping"  $U_i$ .

Finally,  $Q'_i s$  priority  $V_i$  is computed as:

$$V_i = max(v_i^-, v_i^+)$$

For the query with the highest priority  $V_i$ , if  $v_i^+ > v_i$  $v_i^-$ , then first apply the pending update (if any) to the data object  $O_i$ , then execute the query. Otherwise, the query will directly access the stale data object  $O_i$ and the pending update  $U_i$  will not be removed from the updates queue. In order to understand the intuition underlying each of the next scheduling policies, recall that the priority  $v_i^+$  corresponds to only QoS penalty as represented in Figure 1, whereas a priority  $v_i^-$  corresponds to a combined QoS and QoD penalty as represented in Figure 3. Hence, the  $v_i^+$  priority under all scheduling policies is the same as their counterparts under the update-aware mechanisms, whereas the  $v_i^-$  priority should reflect the impact of skipping an update in case that skipping an update turned out to be more beneficial than applying it (i.e.,  $v_i^- > v_i^+$ ). Finally, note that measuring the impact of skipping or applying an update pertains only to those scheduling policies with priority functions that can capture that impact, namely, WSJF and Density, but not the EDF or FCFS policy.



Figure 4: General Penalty Function under FIT

In order to compute  $v_i^-$ , we need to consider the impact of an update on both QoS and QoD. From Figure 3, we notice that combined penalty is a function in time with two *critical* points: 1) tardiness deadline, and 2) staleness deadline. In particular, assume the case in Figure 3 where  $D_i < S_i$ , then the penalty is zero up to time  $\tau = D_i$  then it increases linearly with slope  $\alpha_i \times W_i$  reflecting the penalty incurred by the system for not meeting the tardiness deadline. This slope stays the same up until time  $\tau = S_i$  where the slope increases to be  $W_i$  reflecting the combined penalty for both staleness and tardiness. This remains constant until the query is eventually answered. In the opposite case where  $D_i > S_i$ , the penalty function will have the same general shape except that the first slope will be  $(1 - \alpha_i) \times W_i$ .

first slope will be  $(1 - \alpha_i) \times W_i$ . In general, we can represent the penalty as a function (Figure 4) with two deadlines:  $D_{i1}$ , and  $D_{i2}$  and three segments with slopes that have the following values: (a) 0, if  $\tau \leq D_{i1}$ , (b)  $W_{im}$ , if  $D_{i1} < \tau \leq D_{i2}$ , and (c)  $W_i$ , if  $\tau > D_{i2}$ .  $W_{im}$  is the *intermediate* weight when the query misses one of its deadline but not both. As such, if  $D_i < S_i$ , then  $W_{im} = \alpha_i \times W_i$  and if if  $D_i > S_i$ , then  $W_{im} = (1 - \alpha_i) \times W_i$ , whereas  $W_i$  is the weight when a query misses both its deadline as described in Section 2.

**WSJF-FIT:** Under WSJF-FIT,  $v_i^+$  is computed similar to the update-aware counterpart, whereas  $v_i^-$  is computed based on Figure 4 as explained above.

$$v_i^+ = \frac{\alpha_i W_i}{C_{iq} + C_{iu}}, \ v_i^- = \begin{cases} \frac{W_{im}}{C_{iq}} & \tau \le D_{i1} \\ \frac{W_i}{C_{iq}} & \tau > D_{i1} \end{cases}$$
(6)

By considering the query cost  $C_{iq}$  in  $v_i^-$ , WSJF-FIT, Like WSJF-Q, also captures the negative impact of running a certain query  $Q_i$  on the other pending queries in the system. Similarly, it also captures the system loss in QoS if  $Q_i$  were to miss its tardiness deadline, which is expressed by the QoS portion of its weight. But in addition to that, it also captures the the system loss in QoD if  $Q_i$  were to access a stale data object, which is expressed by the QoD portion of its weight.

To reflect the loss in QoS and QoD in  $v_i^-$ , we simply extended the basic WSJF policy to consider two deadlines (Figure 4) instead of one deadline (Figure 1). In general, we can argue that WSJF sets the weights according to the slope of the next critical point. Hence, under WSJF-Q and WSJF-QU (Figure 1), at any time  $\tau$  the weight will have only one value because there is only one critical point. However, under WSJF-FIT (Figure 4), after crossing the first critical point (i.e.,  $D_{i1}$ ) the weight is updated to reflect the future penalty incurred by the system if the query were to be delayed further, where that penalty is expressed by the slope at the next critical point (i.e.,  $D_{i2}$ ).

**Density-FIT:** Under WSJF-FIT,  $v_i^+$  is computed similar to the update-aware counterpart, whereas  $v_i^-$  is computed to reflect the impact of having two dead-lines as defined below:

$$v_i^+ = \frac{-W_i \alpha_i \times (\tau + C_{iq} + C_{iu} - D_i)^+}{C_{iq} + C_{iu}}, \quad (7)$$

$$v_i^- = \frac{-W_{im}(\tau + C_{iq} - D_{i1})^+ - (W_i - W_{im})(\tau + C_{iq} - D_{i2})^+}{C_{iq}}$$

By balancing the trade-off between the "cost" and "benefit" of applying a replica update, FIT is able to strike a fine balance between QoS and QoD as we show in the next sections.

#### 4 Experimental Evaluation

**Testbed**: We have created a simulator that implements the different mechanisms and policies discussed in this paper. The simulator takes as an input the system parameters, and generates the queries and updates based on these parameters such as deadlines, processing cost, etc. We have varied the parameters settings and conducted several experiments to test the performance of our proposed mechanisms and policies and compared them to other existing approaches.

**Queries**: For each simulated point, we generated 5000 queries where the data object accessed by each query is generated according to uniform distribution over the range[1-100]. The processing cost  $C_{qi}$  for each query  $Q_i$  depends on the accessed data object and is generated according to a uniform distribution over the range [10-50] mSec. Each query  $Q_i$  is assigned a tardiness tolerance  $\gamma_{is} = k_i * C_{iq}$ , where  $k_i$  is generated uniformly over the range  $[1, k_{max}]$ . Hence, the tardiness deadline  $D_i = A_i + k_i * C_{iq}$ , where we set  $k_{max} = 5$  in our experiments.

Each query  $Q_i$  is also assigned a staleness deadline  $S_i$ , which is related to the tardiness deadline of the query (i.e.,  $D_i$ ). This enables us to control the distance between the 2 deadlines for staleness and tardiness. In our experiments,  $S_i$  is generated using uniform distribution in the range  $[D_i + \lambda_l, D_i + \lambda_r]$ . In the default setting,  $\lambda_l = -50$  and  $\lambda_r = +50$ . Note that if  $S_i < R_i$ , then we set  $S_i = R_i$ . That is, the staleness deadline has to be at least equal to the arrival time of the last unapplied update but not less so that to reflect only positive values of tolerance.

To specify the QoS and QoD requirement, each query is assigned a weight  $W_i$  uniformly distributed over the range [1,10] which represents the importance of that query. The QoS fraction of the weight (i.e.,  $\alpha$ ) is set in the range [0.1- $\alpha_{max}$ ] where in the default setting  $\alpha_{max} = 1.0$  and the skewness for  $\alpha$ 's zipf distribution is 0.0 (i.e., uniform). The arrival of queries is modeled as a poisson process, where we vary the arrival rate of queries between 10 to 50 queries/sec. Given our distribution for processing costs, an arrival

Table 2: Simulation Parameters

Parameter	value	
Number of Data Objects	100	
Number of Queries	5000	
Data Object Access Cost	Uniform over[10–50]	
Update Cost	Zipf over $[10C_{max}]$	
Query Arrival Rate	5-50	
Update Arrival Rate	50	
Query Deadline Parameter	$k_{max} = 5$	
Importance Weight	Uniform over $[1 - 10]$	
QoS fraction $\alpha$	Uniform over [0.1–1.0]	

Table 3: Mechanisms and Policies

	Query-aware	Update-aware	$\mathbf{FIT}$
FCFS	FCFS-Q		
$\mathbf{EDF}$	EDF-Q		
WSJF	WSJF-Q	WSJF-QU	WSJF-FIT
Density	Density-Q	Density-QU	Density-FIT

rate of 50 queries/second is equivalent to  $\simeq 100\%$  utilization of the replica node.

**Updates:** The processing cost  $C_{ui}$  of each update  $U_i$  is also generated according to a Zipf distribution over the range  $[10, C_{max}]$  mSec. Varying the values of  $C_{max}$  and the skewness Zipf allows us to control the impact of updates on the system load. In the default setting,  $C_{max}$  is set to 100 with the default Zipf parameter for skewness  $\theta_u$  set to 0.5 and skewed towards the high-end of the cost range. Arrival of updates is modeled as a poisson process. The arrival of updates is modeled as a poisson process, where we set the arrival rate to 50 updates/sec.

Table 2 summarizes our simulation parameters and their default values.

Algorithms: Table 3 summarizes the mechanisms and policies discussed in this paper and simulated in our experiments. A blank entry in Table 3 entails that the corresponding policy is not applicable under the mechanism.

Additionally, we have also included the QUTS policy [15]. QUTS prioritizes the scheduling of updates and queries using a two-level scheduling scheme that dynamically allocates CPU resources to updates and queries according to user preferences on QoS (tardiness) and QoD (staleness). To implement QUTS under our model, we have changed the hard deadlines to be soft deadlines and changed the QoS and QoDfunctions to our tardiness and staleness metric. We have also replace the user's preference on QoS and QoD by  $\alpha_{is}$  and  $\alpha_{id}$ . Finally, we have set the the atom time  $\tau$  to 0.01 and the adaptation period to 1 time unit.

## 5 Experimental Results

#### 5.1 Impact of Query Arrival Rate

In this experiment, we set all the parameters to the default values mentioned in the previous section. We varied the query arrival rate from 5 queries/second to 50 queries/second. Under our setting for query processing costs, an arrival rate of 50 queries/second will bring the node up to a utilization around 100%.

Figure 5 shows the penalty incurred by the system when applying different policies for scheduling queries and updates. The policies included in this figure are the query-aware polices presented in Sec-



Figure 5: Query-aware Policies + QUTS



Figure 6: Comparison of All Mechanisms

tion 3 in addition to the QUTS policy described in Section 4. Figure 5 shows that in general, for all policies the penalty increases with increasing the query arrival rate (i.e., increasing utilization). However, the FCFS employed by the OD policy exhibits the highest penalty. Meanwhile, the performance of EDF policy came as expected where it provided the lowest penalty at low utilization but that penalty increased significantly at high utilization because of the mentioned domino effect.

Additionally, Figure 5 also shows that at high query arrival rate, the performance of the QUTS policy is very similar to that of the Density policy, whereas WSJF outperforms them both. Specifically, at the arrival rate of 50 queries/sec, WSJF-Q reduces the system penalty by 35% compared to QUTS, 33% compared to Density-Q, and 57% compared to FCFS-Q which the default scheduling policy under the OD mechanism. Compared to QUTS, WSJF-Q couples the scheduling of queries and updates which allows for providing near maximum QoD, while saving the system resources by applying the most necessary updates. Finally, one might be surprised that WSJF-Q outperforms Density-Q when the latter considers both the query tardiness deadline and processing cost. However, the reason for that is that WSJF-Q considers the slope of the penalty function, whereas Density-Q considers the instantaneous value of the penalty function. Hence, Density-Q might favor a query that seems to currently incur high instantaneous penalty over another query that will incur might higher penalty in the future which is expressed via a high slope and is recognized by the WSJF-Q policy.

In Figure 6, we focus on comparing the performance of the different mechanisms discussed in this paper. In particular, we use the same settings for the results shown in Figure 5, but we include only the Density-Q and WSJF-Q policies because they



Figure 7: Average Tardiness of WSJF



Figure 8: Average Staleness of WSJF

provided the best performance under the query-ware mechanism (as illustrated in Figure 5). Additionally, we have also include their update-aware counterparts (i.e., Density-QU and WSJF-QU) and the FIT counterparts (i.e., Density-FIT and WSJF-FIT).

Figure 6 shows that for either the Density or the WSJF policy, the update-aware version performs better than the only query-aware version and that the FIT version performs better than both the query-aware and the update-aware. For instance, at query arrival rate of 50 queries/sec, WSJF-FIT reduces the system penalty by 67% compared to WSFJ-Q and by 22% compared to WSFJ-QU. These gains are further depicted in Figures 7 and 8 where we break down the penalty incurred by the system into its two components: tardiness and staleness.

Figure 7 shows the tardiness penalties (i.e., loss in QoS) for the WSJF policies. WSJF-FIT exhibits the lowest loss in tardiness since it might selectively decide to skip some updates if the benefit of an update does not justify its cost, thus saving resources that might be needed by other queries and updates. Figure 7 shows that at 50 queries/sec, WSJF-FIT reduces the tardiness penalty by 37% vs. WSJF-Q and 18% vs. WSJF-QU.

As expected, the gains provided by WSJF-FIT in reducing the tardiness penalty come at the expense of an increase in the staleness penalty as shown in Figure 8. The figure shows that by skipping some updates, WSJF-FIT increased the staleness penalty compared to both WSJF-Q and WSJF-QU. However, these losses are countered by higher gains in terms of reducing the tardiness penalty leading to striking a fine balance between QoS and QoD as previously shown in Figure 6.



Figure 9: Comparison of All Mechanisms



Figure 10: Average Tardiness of WSJF

# 5.2 Impact of different QoS and QoD preferences $\alpha$

To further illustrate the trade-off between QoS (i.e., tardiness) and QoD (i.e., staleness), in this experiment we keep the same default values as in the previous one except that we increase the skewness of  $\alpha$ 's zipf distribution from 0.0 to 1.7 which is skewed towards high values of  $\alpha$ . This leads to more queries giving higher preference to QoS over QoD, which in turn results in the system being penalized more for violating tardiness deadlines than staleness deadlines. Figure 9 shows our experimental results under that setting.

Figure 9 clearly highlights the benefits achieved by the Density and WSJF policies under the FIT mechanism vs. their counterpart under both the queryaware and update-aware mechanisms. For instance, in Figure 9 at query arrival rate of 50 queries/sec, WSJF-FIT reduces the system penalty by 59% compared to WSFJ-Q and by 53% compared to WSFJ-QU (vs. only 37% and 18% under the settings for Figure 6). This increase in gain (or equivalently reductions in penalty) is due to WSJF-FIT dynamically skipping updates that correspond to queries with low weight for QoD and are more interested in QoS as expressed by the  $\alpha$  setting. This trade-off is further illustrated in Figures 10 and 11, where we break down the penalty incurred by the system into its two components of tardiness and staleness.

# 5.3 Impact of update cost $(\theta_u)$

In this experiment, we kept the same default values as in the first experiment except that we increased the skewness of the update cost (i.e.,  $C_{u,i}$ ) zipf distribution from 0.5 to 1.7 which is skewed towards high values over the range  $[10, C_{max}]$  where  $C_{max} = 100$ . This leads to more updates being expensive and requiring more system resource to refresh the stale data.



Figure 11: Average Staleness of WSJF



Figure 12: Comparison of All Mechanisms

Figure 12 shows our experimental results under that setting.

Figure 12 illustrates that under this setting, WSJF-FIT still outperforms all of the other policies. However, under this setting the reduction in penalty provided by WSJF-FIT vs. WSJF-QU is only 31% (in comparison to 53% in Figure 9). The reason for that closer gap in performance is that under this setting, WSJF-QU being update-aware will also recognize those updates with high processing costs and give them lower priority to favor queries and updates with lower costs. Similarly, WSJF-FIT will recognize those expensive update and with either skip them (if they have low benefit) or give them low priority (if they have high benefit to balance the hight cost). This trade-off is further illustrated in Figures 13 and 14, where we break down the penalty incurred by the system into its two components of tardiness and staleness.

#### 6 Conclusions and Future Work

Motivated by the need for providing guarantees on both query performance and data currency in highly distributed data management platforms, we addressed the problem of scheduling queries and updates to strike a fine balance between QoS and QoD. Towards this, we presented three mechanisms for the scheduler implementation together with scheduling policies that work in conjunction with those mechanisms. Our experimental results show the the FIT mechanism introduced in this paper, together with the WSJF-FIT policy can efficiently allocate the available resources across queries and updates to maximize the system utility.

Our proposed scheduler is designed to operate at the replica-level in a distributed data management platform where it intercepts the queries and updates forwarded to the replica and prioritizes their execu-



Figure 13: Average Tardiness of WSJF



Figure 14: Average Staleness of WSJF

tion according to the employed mechanism and policy. In the future, we plan to investigate global solution that work at the system-level for achieving further improvements in performance. For instance, we are considering the design of a performance- and staleness-aware load balancer for the distribution of queries over the available replicas. We are also planning to investigate advanced query/update scheduling policies that dynamically adapt to the workload and provide the best performance under both low and high utilizations. Finally, we plan to extend this work to consider the popularity of data objects as manifested by the frequency of query accesses where some data might be of more interest to a high population of users.

#### References

- B. Adelberg, H. Garcia-Molina, and B. Kao. Applying update streams in a soft real-time database system. In SIGMOD Conference, pages 245–256, 1995.
- [2] M. Bateni, L. Golab, M. T. Hajiaghayi, and H. J. Karloff. Scheduling to minimize staleness and stretch in real-time data warehouses. In SPAA, pages 29–38, 2009.
- [3] M. Bouzeghoub and V. Peralta. A framework for analysis of data freshness. In *IQIS*, pages 59–67, 2004.
- [4] F. Chang, J. Dean, S. Ghemawat, W. C. Hsieh, D. A. Wallach, M. Burrows, T. Chandra, A. Fikes, and R. E. Gruber. Bigtable: A distributed storage system for structured data. *ACM Trans. Comput. Syst.*, 26(2), 2008.
- [5] X. Chen and X. Zhang. Coordinated data prefetching for web contents. *Computer Communications*, 28(17):1947–1958, 2005.

- [6] B. F. Cooper, R. Ramakrishnan, U. Srivastava, A. Silberstein, P. Bohannon, H.-A. Jacobsen, N. Puz, D. Weaver, and R. Yerneni. Pnuts: Yahool's hosted data serving platform. *PVLDB*, 1(2):1277–1288, 2008.
- [7] G. DeCandia, D. Hastorun, M. Jampani, G. Kakulapati, A. Lakshman, A. Pilchin, S. Sivasubramanian, P. Vosshall, and W. Vogels. Dynamo: amazon's highly available key-value store. In SOSP, pages 205–220, 2007.
- [8] S. Guirguis, M. A. Sharaf, P. K. Chrysanthis, A. Labrinidis, and K. Pruhs. Adaptive scheduling of web transactions. In *ICDE*, pages 357–368, 2009.
- [9] H. Guo, P.-A. Larson, R. Ramakrishnan, and J. Goldstein. Relaxed currency and consistency: How to say "good enough" in sql. In SIGMOD Conference, pages 815–826, 2004.
- [10] T. Gustafsson and J. Hansson. Dynamic ondemand updating of data in real-time database systems. In SAC, pages 846–853, 2004.
- [11] J. R. Haritsa, M. J. Carey, and M. Livny. Valuebased scheduling in real-time database systems. *VLDB J.*, 2(2):117–152, 1993.
- [12] K. D. Kang, S. H. Son, J. A. Stankovic, and T. F. Abdelzaher. A qos-sensitive approach for timeliness and freshness guarantees in real-time databases. In *ECRTS*, pages 203–212, 2002.
- [13] A. Labrinidis, H. Qu, and J. Xu. Quality contracts for real-time enterprises. In *BIRTE*, pages 143–156, 2006.
- [14] A. Lakshman and P. Malik. Cassandra: structured storage system on a p2p network. In *PODC*, page 5, 2009.
- [15] H. Qu and A. Labrinidis. Preference-aware query and update scheduling in web-databases. In *ICDE*, pages 356–365, 2007.
- [16] M. A. Sharaf, P. K. Chrysanthis, A. Labrinidis, and C. Amza. Optimizing i/o-intensive transactions in highly interactive applications. In SIG-MOD Conference, pages 785–798, 2009.
- [17] A. Silberstein, J. Terrace, B. F. Cooper, and R. Ramakrishnan. Feeding frenzy: selectively materializing users' event feeds. In SIGMOD Conference, pages 831–842, 2010.
- [18] S. Sivasubramanian, G. Pierre, M. van Steen, and G. Alonso. Analysis of caching and replication strategies for web applications. *IEEE Internet Computing*, 11(1):60–66, 2007.
- [19] M. Thiele, A. Bader, and W. Lehner. Multiobjective scheduling for real-time data warehouses. In *BTW*, pages 307–326, 2009.
- [20] R. H. Thomas. A majority consensus approach to concurrency control for multiple copy databases. ACM Trans. Database Syst., 4(2):180–209, 1979.
- [21] M. Xiong, S. Han, K. yiu Lam, and D. Chen. Deferrable scheduling for maintaining real-time data freshness: Algorithms, analysis, and results. *IEEE Trans. Computers*, 57(7):952–964, 2008.